| FORM REV. 2 | PTO-1390 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCK | ET NUMBER | | | | |
|----------------|----------------|--|----------------|--|--|--|--|
| | TRA | NSMITTAL LETTER TO THE UNITED STATES OP354.0009-00000 | | | | | |
| DL | SIGNA. | ED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 U.S. APPLICATION N (If known, see 37CFR) | | | | | |
| | | (11 11 10 11 11 11 11 11 11 11 11 11 11 1 | .5) | | | | |
| D 1000 | D) I + (F) (O) | 10/566,965 | | | | | |
| | | AL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE C | LAIMED | | | | |
| | JP04/1162 | August 3, 2007 August 3, 2003 | | | | | |
| INCO | ORPORA | ENTION: COSMETIC COMPOSITION COMPRISING POROUS PARTICLES TING OPTICALLY ACTIVE SUBSTANCES | | | | | |
| APPI | LICANT(| S) FOR DO/EO/US: Christophe DUMOUSSEAUX et al. | | | | | |
| Appli | cant(s) her | with submits to the United States Designated/Elected Office (DO/EO/US) the following items and other | her informatio | | | | |
| 1. | | This is a FIRST submission of items concerning a filing under 35 U.S.C 371. | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 3 | 71. | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must incluitems (5), (6), (9) and (21) indicated below. | | | | | |
| 4. | | The US has been elected by the expiration of 19 months from the priority date (Article 31). | | | | | |
| 5. | | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | |
| | | a. | eau. | | | | |
| | | b. | | | | | |
| | | c. \square is not required, as the application was filed with the United States Receiving O | ffice (RO/US) | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | |
| | | a. is attached hereto. | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154 (d)(4). | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bu | reau). | | | | |
| | | b. have been communicated by the International Bureau. | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has | NOT expired | | | | |
| | | d. have not been made and will not be made. | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | |
| Items | 11 to 20 b | elow concern document(s) or information included: | | | | | |
| 11. | | Information Disclosure Statement under 37 CFR 1.97 and 1.98 | | | | | |
| 12. | ⊠ | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. | | A FIRST preliminary amendment. | | | | | |
| 14. | | A SECOND or SUBSEQUENT preliminary amendment. | | | | | |
| 15. | | A Substitute specification. | | | | | |
| 16. | | A change of power of attorney and/or address letter. | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825 | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154 (d)(4). | | | | | |
| 19. | | A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). | | | | | |
| 20. | \boxtimes | Other items or information: | | | | | |
| | | a. Copy of cover page of International Publication No. WO | | | | | |
| | | b. | | | | | |
| | | с П | | | | | |

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| | U.S. APPLICATION NO. (If know | | | | | | | | ATTORNEY'S DOCKET NUMBER | | | | |
|---|--|--|-------------|------------------------------|-------------|---|---|---------------------|-----------------------------|--------|------------------|-------------|--|
| | 10/56 | 10/566,965 21. The following fees are submitted: | | | | | PCT/JP04/11626 | | | | 09354.0009-00000 | | |
| | 21. | | | | | ed: | | | | CALC | JLATIONS P | TO USE ONLY | |
| | BASI | BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): \$ 300.00 | | | | | | | | | | | |
| | Natior | tional Stage Search Fee | | | | | | \$ 500.00 | | | | | |
| | | | | | | | | | | | | | |
| | Natior | | | | | | | \$ 200.00 | | | | | |
| | | | | | | | | | | | T | | |
| | | | | \$ | | | | | | | | | |
| | lis | listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each | | | | | | | | | | | |
| | additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of additional 50 or fraction Rate | | | | | | | | | | <u> </u> | | |
| | Total Slicets | | | | | reof (rounded up to a whole number | | Kate | | | | | |
| | 8 | 35-10 | 00 = | 33 | 3/50= | | | - | x 250.00 | \$ | | | |
| | | Surcharge of \$130.00 for furnishing th months from the earliest claimed prior | | | | | | | ⊠30 | | 120.00 | | |
| | | CLAIMS | | | <u> </u> | | NUMBER EXTRA | TOP: | \$ | 130.00 | | | |
| | Total C | | | NUM | BER FILE | | NUMBER EXTRA | | TE 50.00 | \$ | | | |
| | Indepe | | | | -3 = | | | | 00.00 | \$ | | | |
| | | | | ENT CLAIM(S) (if applicable) | | | | +\$360.00 | | s | | | |
| | TOTAL OF THE ABOVE CALCULATIONS = | | | | | | | | | | | | |
| | □Ар | plica | nt claims s | mall ent | ity status. | See 37 | CFR 1.27. The fees | indicated above are | reduced by 1/2. | \$ | | | |
| | | <u> </u> | | | | | 11/2/ | | SUBTOTAL = | \$ | 130.00 | | |
| | | Processing fee of \$130.00 for furnishing the English translation later than \[\sum 20 \sum 30 \] months from the earliest priority date (37 CFR 1.492(f)). | | | | | | | | \$ | | | |
| | TOTAL NATIONAL FEE = | | | | | | | | | \$ | 130.00 | | |
| | | Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. | | | | | | | | | 40.00 | | |
| 08/21/200 | 6 LLAN | LANDGRA 00000034 10566965 TOTAL FEES ENCLOSED | | | | | | | S ENCLOSED = | \$ | 170.00 | | |
| 01 FC:161 | 7 130.00 DP | | | | | | | | | Amou | unt to be | \$ | |
| | | | | | | | | charge | ed: | \$ | | | |
| | a. | | | | | | | and a chec | k in the | | | | |
| | | | | | | | | e fees. | | | | | |
| : | | | | | | | | rpayment to | | | | | |
| | | | | | | | | | | | | | |
| NOTE: Where an appropriate time limit u must be filed and granted to restore the ap | | | | | | | ter 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) ication to pending status. | | | | | | |
| | SEND | SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | |
| | Finne | SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, NW | | | | | | | | | | | |
| | | Vashington, D.C. 20001-4413 Thalia V. Warnement/Registration | | | | | | | nent/Registration | No. 39 | .064 | | |
| | DATE | DATED: August 16, 2006 NAME/REGISTRATION NO. | | | | | | | | | | | |
| | L~~11 | | rugust 10, | 2000 | | | | | | | | | |

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginis 22313-1450 www.usplo.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/566,965

Christophe Dumousseaux

093540009

INTERNATIONAL APPLICATION NO PCT/JP04/11626 I.A. FILING DATE

08/05/2004

PRIORITY DATE 08/05/2003

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

JUN 2 1 2006

CONFIRMATION NO. 9332 371 FORMALITIES LETTER

OC000000019298033

Date Mailed: 06/16/2006

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/02/2006
- Copy of the International Search Report filed on 02/02/2006
- Request for Immediate Examination filed on 02/02/2006
- U.S. Basic National Fees filed on 02/02/2006
- Priority Documents filed on 02/02/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION.

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/566,965 | PCT/JP04/11626 | 093540009 |

FORM PCT/DO/EO/905 (371 Formalities Notice)

PATENT Customer No. 22,852 Attorney Docket No. 09354.0009-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re National Stage of International Application No. PCT/JP04/11626 of: |) |
|---|------------------------------|
| Christophe DUMOUSSEAUX et al. |) Group Art Unit: Unassigned |
| Application No.: 10/566,965 |) Examiner: Unassigned |
| Filed: February 2, 2006 |) Confirmation No.: 9332 |
| For: COSMETIC COMPOSITION COMPRISING POROUS PARTICLES INCORPORATING OPTICALLY ACTIVE SUBSTANCES |)))) |

SUBMISSION OF ASSIGNMENT OF APPLICATION

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby submit a copy of the executed Assignment in the above-identified application from **Christophe DUMOUSSEAUX** and **Tatsunari GOTO**, assigning their rights in the invention to **L'ORÉAL**. Please record the Assignment and return to the undersigned.

The required \$40.00 recordation fee is enclosed.

Please charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

By:

Thalia V. Warnement Reg. No. 39,064

Dated: August 16, 2006